

## Electronic Acknowledgement Receipt

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| <b>EFS ID:</b>                              | 4920347  |
| <b>Application Number:</b>                  | 09988780   |
| <b>International Application Number:</b>    |  |
| <b>Confirmation Number:</b>                 | 9116   |
| <b>Title of Invention:</b>                  | Method, system, and computer program product for risk assessment and risk management |
| <b>First Named Inventor/Applicant Name:</b> | Marc Bladen  |
| <b>Customer Number:</b>                     | 26111  |
| <b>Filer:</b>                               | Theodore A. Wood/Delain Crooks   |
| <b>Filer Authorized By:</b>                 | Theodore A. Wood   |
| <b>Attorney Docket Number:</b>              | 1984.0010000   |
| <b>Receipt Date:</b>                        | 06-MAR-2009  |
| <b>Filing Date:</b>                         | 20-NOV-2001  |
| <b>Time Stamp:</b>                          | 16:12:11   |
| <b>Application Type:</b>                    | Utility under 35 USC 111(a)  |

### Payment information:

|  |             |
|--|-------------|
| Submitted with Payment                   | yes         |
| Payment Type                             | Credit Card |
| Payment was successfully received in RAM | \$3160      |
| RAM confirmation Number                  | 1664        |
| Deposit Account                          |             |
| Authorized User                          |             |

### File Listing:

| Document Number | Document Description | File Name | File Size(Bytes)/<br>Message Digest | Multi Part /.zip | Pages (if appl.) |
|-----------------|----------------------|-----------|-------------------------------------|------------------|------------------|
|-----------------|----------------------|-----------|-------------------------------------|------------------|------------------|

|                              |   |                          |  |     |    |
|------------------------------|---|--------------------------|--|-----|----|
| 1                            |   | 19840010000EOTRCE.pdf    | 171995<br>00ba6e408131a9f9f910ef36c7941bb6a26849e4 | yes | 3  |
|                              | Multipart Description/PDF files in .zip description |                          |  |     |    |
|                              | Document Description                                |                          | Start  | End |    |
|                              | Miscellaneous Incoming Letter                       |                          | 1  | 1   |    |
|                              | Extension of Time                                   |                          | 2  | 2   |    |
|                              | Request for Continued Examination (RCE)             |                          | 3  | 3   |    |
| Warnings:                    |   |                          |  |     |    |
| Information:                 |   |                          |  |     |    |
| 2                            |   | 19840010000AMENDMENT.pdf | 535311<br>000071b2a676763aa2ba1c4247d65091a6c2a17a | yes | 18 |
|                              | Multipart Description/PDF files in .zip description |                          |  |     |    |
|                              | Document Description                                |                          | Start  | End |    |
|                              | Amendment Submitted/Entered with Filing of CPA/RCE  |                          | 1  | 1   |    |
|                              | Claims  |                          | 2  | 14  |    |
|                              | Applicant Arguments/Remarks Made in an Amendment    |                          | 15   | 18  |    |
| Warnings:                    |   |                          |  |     |    |
| Information:                 |   |                          |  |     |    |
| 3                            | Fee Worksheet (PTO-06)                              | fee-info.pdf             | 31860<br>ba44333148560bb1a3191ab1db91eaa004298c9   | no  | 2  |
| Warnings:                    |   |                          |  |     |    |
| Information:                 |   |                          |  |     |    |
| Total Files Size (in bytes): |   |                          | 739166   |     |    |

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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.